



Playing by the rules

Understanding compliance in your supply chain

In the ten years that have passed since 9/11, global trading companies have been faced with ever more stringent security requirements due to anti-terror regulations. Both U.S. and EU Customs authorities have introduced a raft of initiatives, including the Customs-Trade Partnership Against Terrorism (C-TPAT), Automated Manifest System (AMS), the Container Security Initiative (CSI), Importer Security Filing (ISF) and Authorised Economic Operator (AEO), to name but a few.

Government agencies around the world have published restricted party lists of individuals, companies and organisations suspected to be engaged in terrorist activities. Some of these lists run to hundreds of pages, and manually checking them is time-consuming, impractical, and error-prone. Other export control regulations, e.g. partial-, total- and arms embargoes, dual-use goods, US EAR regulations and licence requirements, are no less complicated.

For Customs authorities to carry out risk assessment and controls, manufacturers, exporters, forwarders and logistics service providers must now provide much more elaborate data about imports and exports, and at increasingly earlier stages than ever before. As shipment data has to be available prior to moving physical goods, businesses must transmit the relevant information to Customs in advance. No wonder many logistics experts struggle to prove full compliance.

How can companies stay compliant in everyday business? Here are some of the mandatory checks that apply to every global trade transaction:

- **Critical Business Partners:** Acting compliant means companies are prohibited from providing financial funds or economic resources to parties suspected of involvement in terrorist activities. Restricted party screening involves checking your addresses against official sanction lists.

- **Country Embargoes:** Are there any embargoes against this country in place? Which goods can be sent to which countries and what restrictions refer to which kind of embargo?
- **Critical Goods:** Which goods can be sent without further ado, which require a licence? Most export control regulations centre on the evaluation, classification and control of goods.
- **Critical End-Use:** Goods classified as critical end-use goods are either destined for use in a nuclear facility or for conventional weapons, or their use relates to chemical, biological or nuclear weapons, or to military end-use.

Forward-looking organisations can benefit from these initiatives to save costs, streamline processes and gain a competitive advantage. Effective, IT-supported compliance and risk management can be incorporated in live business processes with little investment and without workflow disruptions.

The solution: AEB's Compliance & Risk Management suite

AEB (International) Ltd is one of Europe's leading providers of supply chain management software and has been delivering solutions to customers for over thirty years. The company has over 6,000 customers worldwide, supported by offices in the UK, Germany, Singapore, Switzerland and the US.

AEB's Compliance & Risk Management suite for sanction list screening, export controls and licence management enables businesses to trade in accordance with applicable legislation and to provide required audit trails to the relevant government bodies while streamlining processes and freeing up manpower.

Conveniently processing all data checks in the background, AEB's software ensures compliance with applicable legislation in the battle against terrorism and money laundering, and protects your business and your

staff from financial penalties and criminal prosecution. These background compliance checks identify undesirable or illegal transactions, stopping or interrupting them before they can be carried out, and alerting the user of applicable licence requirements as well as embargoes against goods, countries or individuals. Updated and relevant laws and regulations are referred to for each automatic check, including national, EU and U.S regulations. Comprehensive logs of all checks undertaken provide a complete audit trail necessary for demonstrating due diligence to official auditors.

ASSIST4 Compliance & Risk Management is the right way to handle global trade transactions and safeguard your business processes. Depending on your demands and your current IT infrastructure, applicable modules are available as standalone applications installed at customer site, as hosted solutions on dedicated servers in the AEB computing centre, integrated in SAP® or as online services with web access and without maintenance efforts or hardware investments.

Software, Consultancy and Services
for Global Trade and
Supply Chain Management

AEB ■■■■

To further increase awareness of Compliance and Risk Management in the supply chain and to increase security in global trade, AEB (International) Ltd is running a comprehensive Compliance campaign, including a number of free information papers, the Second Annual Compliance Conference in partnership with CILT(UK), and free webinars introducing its web solution COMPLIANCE||XPRESS for convenient handling of denied-party address screening, providing expert advice after each session.

To find out more, contact AEB (International) at +44 (0)1926 801250 or visit www.aeb-international.co.uk

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Dangerous Goods Safety Advisor (DGSA)

Dangerous goods by road

An intensive week-long course covering transport units, marking and placarding, documentation, compliance thresholds, filling, stowage, loading and segregations, cleaning or decontamination, operational procedures, emergency response requirements, environmental protection, and legal framework. There is an examination element to this course, which is split into three examinations each covering a specific subject area:

- Core
- All Classes
- Road Module

It is a legal requirement that every DGSA holds this qualification, which has to be revalidated every five years. There are no 'grandfather rights'.

Who this course is for

This course is aimed at those involved in the storage, handling and transportation by road of dangerous goods above designated load thresholds.

Dangerous goods by rail, inland waterway, sea and air can be offered on request subject to demand or can be delivered in-company.

Location: **Corby**
Course duration: **Five days**

Further details please contact
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